



Docket No.: END920000047US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: J. A. Riosa et al.

Group Art Unit: 2126 : IBM Corporation
Examiner: Lewis A. Bullock, Jr. : Intellectual Property Law
Serial No.: 09/747,439 : Dept. IQ0A, Bldg. 040-3
Filed: 12/21/00 : 1701 North Street
Title: HIERARCHICAL CONNECTED : Endicott, NY 13760
GRAPH MODEL FOR
IMPLEMENTATION OF
EVENT MANAGEMENT
DESIGN

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

08/19/2004

Georgia Y. Brundage

Date

Commissioner For Patents
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Alexandria, VA 22313-1450

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Technology Center 2100

DECLARATION UNDER 37 C.F.R. 1.131

Dear Sir:

We, Andrew D. Naiberg and James A. Riosa, do hereby declare that we are joint inventors of the subject matter which is described and claimed in our U.S. patent application S.N. 09/747,439 which was filed in the United States Patent and Trademark Office 12/21/2000.


We further declare that inventions disclosed and claimed in said application 09/747,439 were conceived by us in Canada, a NAFTA country, and were reduced to practice in Canada, a NAFTA country, by us and/or under our direction and/or our supervision prior to September 28, 2000, the effective date of the paper

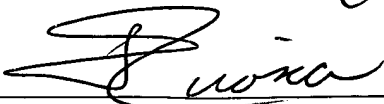
entitled "Event Relationship Networks: A Framework for Action Oriented Analysis in Event Management" by David Thoenen et al.

We further declare that the above is evidenced by the following Exhibit, a true copy of which is included herewith, of which dates have been removed:

EXHIBIT A - IBM invention disclosure CA8-1999-0122 which formed the basis for the present application S. N. 09/747,439. This disclosure describes automated implementation of a hierarchical event relationship network for correlation analysis in a distributed computing environment and was prepared and submitted by the joint inventors of S.N. 09/747,439 prior to the above effective date (September 28, 2000) for the cited technical article.

We further declare that all statements made in this declaration of our own knowledge are true and that all statements made on information and belief are believed to be true; with knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: JULY 20/2004 
Andrew D. Naiberg

Dated: JULY 20/2004 
James A. Riosa